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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)
Inventor(s): Eckert et al.)
Application No.: 09/933,263)
Filed: August 20, 2001)
For: A Method of Managing Risk in a)
Security Based on the Income of)
a Performer)

Examiner: To be assigned
Group Art Unit: 2164
Our Docket No.: 1713-0014

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Harold C. Moore

Name of person mailing Document or Fee

Signature of person mailing Document or Fee

October 17, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450


Sir:

Pursuant to 37 C.F.R. § 1.56, Applicants hereby disclose the following reference, a copy of which is enclosed, regarding the above-identified patent application.

Non-Patent References

1. DeBellis Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at <http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...>, (5 pages).

Respectfully Submitted,



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October 17, 2003
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FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: 1713-0014

APPLICATION NO.: 09/933,263

APPLICANT(S): Eckert et al.

FILING DATE: August 20, 2001

GROUP ART UNIT: 2164

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	<u>1</u>	DeBellis, Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f... , (5
	AR	<u>1</u>	
	AS	<u>1</u>	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicants.